



PTO/SB/08A (10-01)

Approved for use through 10/31/2002. OMB 0651-0031
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

Substitute for form 1449A/PTO		Complete if Known	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT (use as many sheets as necessary)		Application Number	10/725,067
		Filing Date	December 1, 2003
		First Named Inventor	Dreja et al.
		Art Unit	1712
		Examiner Name	Metzmaier, D.
Sheet 1 of 1	Attorney Docket Number	H5118	

U.S. PATENT DOCUMENTS					
Examiner Initials*	Cite No. ¹	Document Number Number - Kind Code ² (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
DSM		US-6,017,389	01/25/2000	Schmidt et al.	
DSM		US-6,639,039 B1	10/28/2003	Fries et al.	

FOREIGN PATENT DOCUMENTS						
Examiner Initials*	Cite No. ¹	Foreign Patent Document Country Code ³ -Number ⁴ - Kind Code ⁵ (if known)	Publication Date MM-DD-YYYY	Country	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶
DSM		DE 100 21 165 A1	11/08/2001	Germany		
DSM		DE 199 15 377 A1	10/12/2000	Germany		
DSM		DE 195 34 980 A1	03/27/1997	Germany (US6017389)		
DSM		DE 195 34 764 A1	03/20/1997	Germany (US6017389)		
DSM		DE 198 05 977 A1	08/19/1999	Germany (US6639039)		
DSM		DE 196 20 668 C1	09/11/1997	Germany		

OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date page(s), volume-issue Number(s), publisher, city and/or country where published.	T ²
DSM		Herzog et al., "Nanotopes: A Surfactant Resistant Carrier System. A comparison with Conventional Liposomes", SOFW-Journal, Seifen, Ole, Fette, Wachse, pgs. 614, 616, 618, 621-623 XP001083760	
DSM		Rompp-Lexikon Chemie, 9 th Edition, Vol. 5, pg. 4140; C.J. Brinker, G.W. Scheerer, "Sol-Gel-Science: The Physics and chemistry of sol-gel processing", Academic Press, San Diego, 1998.	

Examiner Signature	/Daniel S. Metzmaier/	Date Considered	07/21/2006
--------------------	-----------------------	-----------------	------------

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹Applicant's unique citation designation number (optional). ²See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶Applicant is to place a check mark here if English Language Translation is attached.

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.